

REPORT ON COMPLIANCE

As a Federally regulated pretreatment categorical industry you must provide the following information to satisfy the Report on Compliance (ROC) requirements outlined in the Code of Federal Regulations (CFR), Title 40, Part 403.12(d):

Business Name: _____ Date: _____

Business Address: _____

Business Operator: _____

Business Owner: _____

Contact Person: _____ Telephone Number: _____

I. FLOW MEASUREMENT

a. From the Regulated Process Streams:

1. Measured Average Daily Flow in gallons per day (gpd) = _____

2. Measured Maximum Daily Flow in gallons per day (gpd) = _____

b. Are any waste streams other than Regulated Process Streams included in the above flow measurements?

Yes _____ (if yes, answer 1&2 below) No _____ (if no, go on to Section II)

Of the flow amounts given in a. 1 & 2 above, what are the

1. Average Daily Flow (gpd) = _____;

2. Maximum Daily Flow (gpd) = _____;

from sources other than the Regulated Process?

If other waste streams are mixed with the regulated wastewater prior to pretreatment the User should measure the flows and concentrations necessary to allow use of the combined waste stream formula, 40CFR 403.6, in order to evaluate compliance with the Pretreatment Standards. Where an alternate concentration or mass limit has been calculated in accordance with 40CFR 403.6(e) this adjusted limit along with supporting data shall be submitted to the City.

II. MEASUREMENT OF POLLUTANTS

a. What Pretreatment Standards apply to your regulated process(es)?

Categorical Standard(s) that apply to this company are: _____

If you have more than one regulated process indicate what standards apply to each

process separately, attach additional sheet(s) if needed.

STANDARD

SHEET

Daily Maximum/ Average Concentration

	/	
	/	
	/	
	/	
	/	
	/	
	/	
	/	
	/	

b. **Attach copies** of sampling results and analysis showing the nature and concentration (or mass, if required) of the regulated pollutants, as listed above, that are discharged from **each** regulated process. These results must show both the **daily maximum and average concentration** (or mass, where required). The sample shall be representative of daily operations and analysis shall be performed in accordance with the techniques prescribed in 40CFR 136 and amendments thereto.

III. SIGNATORY REQUIREMENTS

a. Qualified Professional Certification

I hereby certify under penalty of law that this information was obtained in accordance with the applicable procedures and requirements as specified by all applicable Federal, State, or local pretreatment standards and requirements.

Pretreatment standards (check one);

are ☐ (go on to "b") **are not** ☐ (answer 1 below)

being met on a consistent basis.

I am aware that there are significant penalties for submitting false information, including the possibility of fines and/or imprisonment.

Name (print) Title _____

Signature Date Phone

1. If pretreatment standards **are not** met on a consistent basis:

A) What additional operations and maintenance procedures are being considered to bring the facility into compliance? Also, list additional treatment technology or practices being considered in order to bring the facility into compliance. (Attach additional pages)

B) Provide a schedule for bringing the facility into compliance. Specify major events planned along with reasonable completion dates. (Attach additional sheets as needed.)

<u>Milestone Activity</u>	<u>Completion Date</u>
_____	_____
_____	_____
_____	_____
_____	_____
_____	_____
_____	_____
_____	_____

b. Authorized Representative Statement

I certify under penalty of law that this document and all attachments were prepared under my direction or supervision in accordance with a system designed to assure that qualified personnel properly gather and evaluate the information submitted. Based on my inquiry of the person or persons who manage the system, or those persons directly responsible for gathering the information, the information submitted is, to the best of my knowledge and belief, true, accurate, and complete. I am aware that there are significant penalties for submitting false information, including the possibility of fine and imprisonment for knowing violations.

Name (Print) Title _____

Signature Date Phone